ROLE OF CRANIAL ULTRASOUND IN HIGH RISK NEONATES IN NICU
Poornima Shankar¹, Nithya S. L²

HOW TO CITE THIS ARTICLE:
DOI: 10.14260/jemds/2014/2385

ABSTRACT: Neonatal care in India is advancing at an impressive phase at the level of the community as well as in tertiary care units. Concept of “survival” of newborn has given way to “intact survival” of the high risk newborn emphasizing detection of neurological subnormalities at the earliest. Neurosonography, which is now ubiquitously available, is an ideal tool for the primary screening of the neonatal brain. Despite its wide availability in the hospitals, the penetration of cranial ultrasonography in Indian NICU’s is still very little. OBJECTIVE: This study was undertaken to assess the importance of cranial ultrasound as an investigatory modality for high risk neonates and to find out the morphology of various cerebral lesions and correlate clinically. METHODS: This observational clinical study was conducted at Kempegowda Institute of Medical Sciences Hospital and Research Centre, Bangalore between January 2013 and January 2014. 100 high risk neonates admitted to our NICU were included in the study. Detailed history and clinical examination with appropriate investigations were done. These neonates were subjected to neurosonography on selected days as per protocol and morphology of various findings was recorded. Clinical correlation with CUS findings and follow up was done. RESULTS: Incidence of CUS abnormalities in high risk neonates is 24% in the present study. 11% of these had evidence of intracranial bleed, 7% hyperechogenic thalami, 2% definite HIE, and 4% had cerebral edema. Of the 24% of neonates with abnormal findings on CUS 23.5% had no evidence of perinatal asphyxia, 28.6% had severe, 30% had moderate 33.3% had mild perinatal asphyxia as per Apgar scoring. Two neonates on regular follow up CUS had developed cystic encephalomalacia with hydrocephalus. CONCLUSION: This study highlights the convenience and diagnostic efficiency of cranial ultrasound in high risk neonates in NICU. It also emphasizes its use as a screening modality for preterms and birth asphyxia neonates influencing their neurodevelopmental outcome. CUS is critical as an investigatory modality in NICU which effectively documents morphology of brain damage. KEYWORDS: Cranial ultrasound, NICU, high risk neonates.

INTRODUCTION: In parallel to the dawn of modern neonatal intensive care, the survival of the “High Risk Neonate” has greatly improved necessitating early detection of neurological subnormalities.

Cranial ultrasound (CUS) has become an essential diagnostic tool in modern neonatology for depicting normal anatomy and pathological changes in neonatal brain. Because in the neonate, many sutures and fontanels are still open and these can be used as acoustic windows to “look” into the brain.¹

It can be easily performed at the bedside with a portable ultrasound machine, conveniently in the NICU which meets the definition of point-of-care testing (POCT). It is also cost effective, radiation free and its safety is well established in infants.²

Any neonate, regardless of birth weight, size, or gestational age, who has a greater than average chance of morbidity or mortality, due to fetal, maternal or placental anomalies or an
otherwise compromised pregnancy, especially within the first 28 days of life is categorized as high risk neonate. CUS plays an important role in assessing neurological prognosis of these high risk infants.

Modern machines, a variety of acoustic windows and sequential scanning at optimal times giving high-quality images has increased the recognition of features suggestive of developmental, metabolic and infectious disorders.

It detects most of the hemorrhagic, ischemic and cystic brain lesions as well as calcifications, cerebral infections and major structural abnormalities in high risk infants.

It is also very helpful in the early diagnosis of the many etiologies of neonatal encephalopathy and seizures in the term infant and the subsequent monitoring of progress of hypoxic-ischemic brain injury.

Most newborn intensive care unit centres perform serial cranial ultrasound evaluations early in the course of hospitalization for premature infants and often, a follow-up examination is done at a later age. These evaluations are done to document the presence of intracranial hemorrhage, to guide choice of therapies that may exacerbate risk of further hemorrhage, and to counsel families about neurodevelopment outcomes.

CUS is also very helpful in assessing severity and neurodevelopment outcome in infants with HIE and in seriously ill neonates with cerebral abnormalities, either congenital or acquired, it plays a role in decision making on continuation or withdrawal of intensive treatment.

The quality of CUS imaging and its diagnostic accuracy depends on the ultrasound machine and also expertise of the examiner. When performed as per protocol it is reliable investigation for commonly occurring neonatal events. CUS can be initiated even immediately after birth and hence suitable for screening and can be repeated as often as possible without any adverse affects and hence helps in proper follow up of babies with neurological problems.

**MATERIALS AND METHODS:** This study was conducted at Kempegowda Institute of Medical Sciences Hospital and Research Centre, Bangalore between January 2013 and January 2014.

One hundred high risk neonates admitted to neonatal intensive care unit were selected as per the inclusion criteria on non-randomized purposive sampling basis and were subjected to neurosonography on selected days. If cranial ultrasonography revealed various findings, repeat neurosonogram were done to follow up sequelae if any.

High risk neonates with any of the following were included in the study:

1. Neonatal convulsions
2. Birth asphyxia & HIE
3. Respiratory distress
4. Neonatal sepsis
5. Preterm neonates
6. Neonates born out of traumatic/instrumental labor
7. Metabolic disturbances with convulsions
8. Congenital malformation of CNS & neural tube defects

After obtaining the informed consent from the parents/guardian regarding inclusion of the neonate in the study, assessment of factors placing the neonate in a high risk category was done
taking detailed maternal and perinatal history reviewing antenatal records. Detailed clinical examination with special emphasis on neurological system was done.

Evaluation with baseline routine investigations was done for all babies and cranial ultrasound of the high risk neonate fulfilling the inclusion criteria was performed. Follow up cranial ultrasound was done in case of findings revealed and for preterm neonates. Morphology of cranial ultrasound findings was studied and recorded and clinical correlation with various findings on cranial ultrasound was done. Neonates were followed till recovery and discharge from NICU.

The sonograms were performed on a Voluson 630 pro GE machine using a multi frequency high density volume TV/TR probe. All ultrasounds were performed by a single radiologist to avoid inter-observer variation

**STATISTICAL ANALYSIS:** Descriptive statistical analysis was carried out in the present study. Results on continuous measurements are presented on Mean±SD (Min-Max) and results on categorical measurements presented in Number(%). Significance is assessed at 5% level of significance.

Chi-square/Fisher Exact test was used to find the significance of study parameters on categorical scale between two or more groups.

**RESULTS:** Incidence of CUS abnormalities in high risk neonates is 24% in the present study. There were 60% male and 40% female neonates enrolled of which 47% were preterm and 53% term high risk neonates with birth weight distribution of Mean ± SD: 2.19±0.72. Mode of delivery was normal vaginal for 51% neonates and 49% via LSCS for various reasons.

In the correlation of perinatal risk factors with abnormal cranial ultrasound findings, there was statistically significant correlation only with PIH (p value-0.05). Correlation of APH, PROM, multiple births and birth trauma was statistically not significant.

There was statistically significant correlation between abnormal cry (p value-0.049), abnormal tone (p-0.014), abnormal activity (p-0.018), and presence of cyanosis (p value-0.035) on clinical examination and presence of abnormalities on cranial ultrasound.

Of the high risk neonates with term gestation, 77.4% had normal and 22.6% had abnormal CUS. Of the high risk neonates with preterm gestation, 70.2% had normal and 29.8% had abnormal CUS.

<table>
<thead>
<tr>
<th>Cranial ultrasound</th>
<th>Number of neonates (n=100)</th>
<th>Gestational age (weeks)</th>
<th>p value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>&lt;32 (n=14)</td>
<td>33-36 (n=25)</td>
</tr>
<tr>
<td>Normal</td>
<td>74 (74%)</td>
<td>9 (64.3%)</td>
<td>19 (76%)</td>
</tr>
<tr>
<td>Abnormal</td>
<td>24 (24%)</td>
<td>5 (35.7%)</td>
<td>4 (24%)</td>
</tr>
<tr>
<td>• Gmh</td>
<td>10 (10%)</td>
<td>3 (21.4%)</td>
<td>3 (12%)</td>
</tr>
<tr>
<td>• Other bleeds</td>
<td>1 (1%)</td>
<td>0 (0%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>• HIE</td>
<td>2 (2%)</td>
<td>1 (7.1%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>• Thalamic Hyperechogenicity</td>
<td>7 (7%)</td>
<td>2 (14.1%)</td>
<td>0 (0%)</td>
</tr>
</tbody>
</table>
In the present study, 47% high risk neonates were preterms, 32% had evidence of perinatal asphyxia, 4% had birth trauma, 47% had evidence of neonatal sepsis, 23% had neonatal seizures in NICU stay, 46% clinically had respiratory distress, 8% had documentation of hypoglycemia and 11% had hypocalcemia on investigatory record. There were no neonates with congenital malformations or neural tube defects.

### Table 1: Correlation of gestational age with various cranial ultrasound findings

<table>
<thead>
<tr>
<th>Cranial ultrasound</th>
<th>Number of neonates (n=100)</th>
<th>Inclusion criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Preterm (n=47)</td>
<td>Neonatal sepsis (n=47)</td>
</tr>
<tr>
<td>Normal</td>
<td>76(76%)</td>
<td>33(70.2%)</td>
</tr>
<tr>
<td>Abnormal</td>
<td>24(24%)</td>
<td>14(29.8%)</td>
</tr>
<tr>
<td>GMH</td>
<td>11(11%)</td>
<td>8(17%)</td>
</tr>
<tr>
<td>Other bleeds</td>
<td>1(1%)</td>
<td>0(0%)</td>
</tr>
<tr>
<td>HIE</td>
<td>2(2%)</td>
<td>1(2.1%)</td>
</tr>
<tr>
<td>Thalamic hyperechogenicity</td>
<td>9(9%)</td>
<td>5(10.6%)</td>
</tr>
<tr>
<td>Cerebral edema</td>
<td>2(2%)</td>
<td>0(0%)</td>
</tr>
<tr>
<td>Other findings</td>
<td>2(2%)</td>
<td>2(4.5%)</td>
</tr>
</tbody>
</table>

### Table 2: Correlation of CUS abnormalities in high risk neonates

Correlation of CUS abnormalities and birth asphyxia showed that of the 24% of neonates with abnormal findings on CUS 23.5% had no evidence of perinatal asphyxia, 28.6% had severe, 30% had moderate 33.3% had mild perinatal asphyxia as per Apgar scoring.

Of the high risk neonates with term gestation having abnormal findings on CUS, 7.5% had thalamic hyperechogenicity, 7.5% had cerebral edema, 5.7% had intracranial bleed and 1.9% had HIE.

Of the high risk neonates with preterm gestation having abnormal findings on CUS, 19.1% had intracranial bleed, 10.6% had thalamic hyperechogenicity, 8.5% had other findings and 2.1% had HIE.

There was statistically significant correlation between findings on CUS and day of life of neonate when CUS was done. All the abnormal findings on CUS were picked up during 24-72 hours of life. 82.4% normal CUS were picked up during 24-72 hours, 4.1% in less than 24 hours and 13.5% after 72 hours of life.
One preterm neonate on regular follow up CUS developed findings suggestive of cystic periventricular leucomalacia well correlating with clinical outcome and two other neonates on regular follow up CUS had developed cystic encephalomalacia.

There was no statistically significant correlation between various findings on CUS and clinical outcome of the neonate. 89% of neonates enrolled had good recovery at the time of NICU discharge, 2% died and 9% were discharged from NICU for various reasons before clinical recovery.

**DISCUSSION:** Despite the wide availability of ultrasound machines in the hospitals, the penetration of CUS in Indian NICU’s is still very little.

CUS has several advantages, it is cheap, easy to perform, non-invasive and can be initiated at a very early stage, even immediately after birth. It can be repeated as often as necessary, and thereby enables visualization of ongoing brain maturation and the evolution of brain lesions. In addition, it can be used to assess the timing of brain damage.

De Vries and Cowan et al⁶ have suggested that cranial ultrasound and MRI are complementary modalities, with ultrasound as an especially useful tool in the early days, when the infant is unstable for transport and ultrasound findings may be sufficient for major clinical decisions. Present study aims at proving the same.

In four studies⁷-¹⁰ reporting results of a total of 87 autopsies performed on PT infants, US was 76% to 100% accurate in detecting grade 1 lesions of > 5 mm and grade 3 and grade 4 hemorrhages.

Detection of grade 2 hemorrhages was much less accurate. Correlation of US findings of cystic periventricular leukomalacia (PVL) with neuropathologic data was evaluated in three studies¹⁰-¹². Each study found 100% correlation between US findings and neuropathologic data. Ultrasound is also particularly useful in detecting some important congenital malformations such as cystic lesions (hydrocephalus, porencephalic cysts, Dandy-Walker cysts complex, and arachnoid cysts), corpus callosal agenesis and aneurysm of vein of Galen (color Doppler).

Eugenio Mercuri, Lilly Dubowitz et al¹³ reported an incidence 20% of ultrasound abnormalities in apparently well neonates and reported ischemic lesions, such as periventricular and thalamic densities were the most common finding (8%), followed by intracranial hemorrhagic lesions (6%) on CUS. In the present study, on CUS, 24% of neonates had abnormal findings. 11% of these had evidence of intracranial bleed, 7% hyperechogenic thalami, 2% definite HIE, 4% had cerebral edema.

Hence high efficacy of CUS in detecting presence of brain damage and its evolution on regular follow up guides clinical decisions and prognosis.
CONCLUSION: This study highlights the convenience and diagnostic efficiency of cranial ultrasound in high risk neonates in NICU.

It also emphasizes its use as a screening modality for high risk preterm and term neonates influencing their neurodevelopmental outcome which is particularly important in the anticipation of potential preventive, protective, and rehabilitative strategies for the management of critically ill newborn infants.

The study concludes that CUS is critical as an investigatory modality in NICU and effectively documents morphology of brain damage. Finally, individualized protocols need to be laid down in the NICU for CUS based on the neonatal work load and the available resources.

REFERENCES:

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Date of Submission: 19/03/2014.
Date of Peer Review: 20/03/2014.
Date of Acceptance: 27/03/2014.
Date of Publishing: 10/04/2014.